

NHS Greater Glasgow and Clyde	Paper No. 24/71
Paper Title	Standing Committee Chair's Board Report
Meeting:	NHSGGC Board Meeting
Date of Meeting:	25 June 2024
Purpose of Paper:	For Assurance
Classification:	Board Official
Name of Reporting Committee	Audit and Risk Committee
Date of Reporting Committee	4 June 2024
Committee Chairperson	Ms Michelle Wailes

1. Purpose of Paper

The purpose of this paper is to: inform the NHS Board on key items of discussion at the NHSGGC Audit and Risk Committee.

2. Recommendation

The Board is asked to note the key items of discussion at the recent meeting of the Audit and Risk Committee on 4 June 2024 as set out below and seek further assurance as required.

3. Key Items of Discussion

3.1 Information Governance Steering Group Update

- Paper provided for assurance.
- The Steering Group continued to receive robust standard monitoring reports.
- Minor amendments were made to two Information Security Policies at the last meeting.
- The withdrawal of WhatsApp from use within NHSGGC was discussed at the last meeting.
- Committee members were assured by the report provided.

3.2 Dumfries & Galloway Cyber Incident

- Paper provided for assurance.
- Update received on the recent Cyber Attack incident that occurred in NHS Dumfries & Galloway.
- An update was provided on the NHSGGC position and the actions taken to mitigate risk.
- Committee members were assured by the report provided.

3.3 Draft Governance Statement

- Paper provided for assurance.
- The Committee reviewed the draft governance statement and noted that the contents were in-line with the assurances provided to the committee throughout the year;
- It was highlighted that the internal audit annual opinion and the NSS audits remained outstanding and would be provided at the next meeting.
- The Committee were assured by the report provided.

3.4 Losses and Compensation Payments

- Paper provided for assurance.
- The Committee received a report detailing the losses, compensations and special payments incurred by the Board during 2023-24.
- The Committee were assured by the report.

3.5 Annual Fraud Report and Counter Fraud Services Update

- Paper provided for assurance.
- The Annual Fraud Report for 2023/24 described the arrangements in place during 1st April 2023 to 31st March 2024 to prevent, detect and investigate fraud.
- A new National Counter Fraud Strategy had been established, which was made up of 12 components. NHSGGC were compliant with 10 components and partially compliant with the remaining 2 components
- The Committee noted that the level of referrals were at a similar level as 2022/23, however an increase in the referrals relating to staff fraud was noted.
- An increase in financial losses due to the theft of specialist endoscopes was noted. This was currently subject to a joint investigation by CFS and Police Scotland.
- The Committee were assured by the report.

3.6 External Audit Update

- Paper provided for assurance.
- The Committee noted that information was awaited from the Scottish Public Pensions Agency (SPPA) in order to complete the Remuneration and Staff Report.
- The Committee were assured by the report.

3.7 External Audit Action Tracker

- Paper provided for assurance.
- The Committee received an update on progress to date in delivering the 16 audit recommendations made by Ernst Young as part of the 2022-23 Annual Accounts audit.
- The Committee were assured by the report.

3.8 Internal Audit Reports

- Papers provided for assurance.
- The Committee received the following Internal Audit Reports: Audit Progress Report, Follow-up Report for Q1 2024/25, Performance Monitoring, Financial Management and Reporting, Waiting List Management and Digital Health and Care Strategy.
- Members acknowledged conclusion of 4 audits.
- The Committee noted that Azets had undertaken an audit of Hospital Discharges in tandem with the Discharge Planning Audit to maximise the value of looking in-depth at aspects of discharge management. Both audits were currently at the draft report stage.
- The Committee noted no substantial issues to the Performance Monitoring Audit, with one minor recommendation made.
- The Committee noted the processes for the Financial Management and Reporting were well designed, robust and were embedded across the organisation. One minor recommendation was noted.
- The Committee noted that the Waiting List Management Report was positive with no major weaknesses identified. However, the committee did discuss the adverse impact that delays in referrals from the National Screening Service were having on the Boards 62 day wait target.
- The Digital Health and Care Strategy Report highlighted that effective processes were in place within the Board to develop and implement the Digital Strategy.
- The Committee were assured by the reports.

3.9 Corporate Risk Register

- Paper provided for assurance.
- The Committee noted 20 risks in total for the period January to March 2024.
- An increased score on Financial Sustainability - Revenue Risk Score had increased from 20 to 25 and an increased score for Capital Funding – Sustainability from 16 to 20.
- The Corporate Risk register had been updated to take account of the NHS Dumfries & Galloway Cyber Incident and would continue to be revised as appropriate.
- The Committee noted a new corporate risk in relation to the Public Inquiry would be discussed at the meeting on 18th June 2024.
- The Committee were assured by the paper.

3.10 Committee Annual Report

- Paper provided for approval.
- The report summarised the activity of the Committee during 2023/24.

- The Committee approved the report.

3.11 Committee Terms of Reference

- Paper provided for approval.
- The Committee noted minor amendments to the Terms of Reference.
- The Committee approved the Terms of Reference.

4. Issues for referral to other Standing Committees or escalation to the NHS Board

There were no issues for referral to other Standing Committees or escalation to the NHS Board.

5. Date of Next Meeting

The next meeting of the Audit and Risk Committee will take place on Tuesday, 18 June 2024.