

NHS Greater Glasgow & Clyde	Paper No. 22/27
Paper Title	Standing Committee Chairs Board Report
Meeting:	NHS Board Meeting
Date of Meeting:	26 April 2022
Purpose of Paper:	For Assurance
Classification:	Board Official
Name of Reporting Committee	Audit and Risk Committee
Date of Reporting Committee	15 March 2022
Committee Chairperson	Ms Margaret Kerr

1. Purpose of Paper:

The purpose of this paper is to inform the NHS Board on key items of discussion at NHS GGC Audit and Risk Committee Meeting of Tuesday 15 March 2022.

2. Recommendation:

The Board is asked to note the key items of discussion at the recent meeting of the **Audit and Risk Committee** on Tuesday 15 March 2022 as set out below and seek further assurance as required.

3. Key Items of Discussion noting purpose; Assurance/Approval/Awareness.

3.1 External Audit Annual Plan 2021/22

- Assurance.
- The Committee considered the External Audit Annual Plan 2021/22, presented by Mr John Cornett, Audit Scotland. The paper set out the Audit Scotland Plan for the 2021/22 External Audit of the Board's Annual Accounts.

BOARD OFFICIAL

- The Committee were content to note the External Audit Annual Plan 2021/22, subject to further amendment of the sources of assurance section, and noted reversion to June 2022 timescales, with presentation of the final Audit Report to June 2022 Audit and Risk Committee, and June 2022 Board Meeting.

3.2 Internal Audit

- Assurance.
- The Committee considered the Internal Audit Progress Report; the Internal Audit Follow Up Report; and the Internal Audit Draft Outline Plan for 2022/23.
- The Committee were content to note that three reviews were completed from the 2021/22 plan in this quarter, those being: Remobilisation Planning; Recruitment; and Management Action Follow Up Q4 2021/22. The Committee noted the Internal Audit Follow Up Report and expressed thanks to Azets colleagues, and management colleagues for their efforts to achieve excellent progress in this area. The Committee also noted Internal Audit Draft Outline Plan 2022/23 and further amendments required, noted that the revised final version of the Plan would be presented to the next Audit and Risk Committee meeting, and, once approved, would be circulated to members of the Finance, Planning and Performance Committee for noting.

3.3 Corporate Risk Register

- Approval.
- The Committee considered the Corporate Risk Register, presented by Ms Lesley Aird, Assistant Director of Financial Services, Capital & Payroll. The paper sought approval of changes to the Corporate Risk Register, and approval of the new report format for use at future Audit and Risk Committee meetings. One new risk had been added to the risk register regarding succession planning. Discussion took place about the removal of the delayed discharge risk, which was discussed at the recent Finance, Planning and Performance Committee meeting, and it was agreed that further consideration was required before this risk was removed.
- The Committee were content to approve the changes to the Corporate Risk Register and the new report format for use at future Audit and Risk Committee meetings.

3.4 Whistleblowing Report

- Assurance.
- The Committee considered the Whistleblowing Report Quarter 3 2021/22, presented by Ms Elaine Vanhegan, Director of Corporate Governance and Administration. The report provided an overview of whistleblowing activity in quarter 3 of 2021/22.

BOARD OFFICIAL

- The Committee were pleased to note that performance across quarter 3 was 100% for both Stage 1 and Stage 2 cases, and that significant progress had been made in respect of whistleblowing. Additionally, the Whistleblowing Champion, Mr Charles Vincent, was particularly pleased with the excellent governance, reporting process, and approach to whistleblowing, and NHSGGC arrangements were considered the gold standard across other NHS Scotland Boards.

4. Issues for referral to other Standing Committees or escalation to the NHS Board.

4.1 Corporate Risk Register Delayed Discharge and Unscheduled Care Matters

- The Audit and Risk Committee recommended further discussion regarding consistency of the treatment of delayed discharge risk with, for example, unscheduled care risks, with referral to the Finance, Planning and Performance Committee for further consideration, prior to accepting the removal of the risks from the register.

5. Date of Next Meeting.

- Tuesday 7 June 2022, 09:30am, MS Teams
- Tuesday 21 June 2022, 09:30am, MS Teams